

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-------------------------|------------------------|
| 09/779,115 | 02/07/2001 | Gerrit Jan van der Kolk | 89196-000000US |

CONFIRMATION NO. 7683

20350
TOWNSEND AND TOWNSEND AND CREW
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000005888210

Date Mailed: 03/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$180.
 - \$180 for 10 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1020.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

05/18/2001 RHARIS1 00000090 201430 09779115

01 FC:101 710.00 CH
02 FC:103 180.00 CH
03 FC:105 130.00 CH

Worrene Reno

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

PATENT
Attorney Docket No.: 089196-000000US
Client Reference No.: H 3452-Ru

#3

On May 14, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Timothy S. Parker

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GERRIT JAN van der KOLK, et al.

Application No.: 09/779,115

Filed: February 7, 2001

For: METHOD FOR THE
MANUFACTURE OF AN ARTICLE
AND AN ARTICLE

Art Unit: 1774

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts of Application dated March 21, 2001, applicant submits herewith a fully executed Declaration and Power of Attorney.

Please charge the fees as set forth on attached Form PTO/SB/17 and any additional fees under 37 CFR 1.16 or 1.17 associated with this paper or otherwise required during the pendency of this application, and credit any overpayment to the Deposit Account No. 20-1430 of the undersigned.

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 415-576-0200.

Respectfully submitted,

J. Georg Seka
J. Georg Seka
Reg. No. 24,491

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200; Fax: (415) 576-0300
JGS/tp
SF 1224038 v1

H-A
\$ SECTOR
-#3

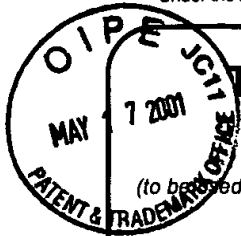
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|--|-------------------------------|
| Application Number | 09/779,115 |
| Filing Date | February 7, 2001 |
| First Named Inventor | van der Kolk, Gerrit, et. al. |
| Group Art Unit | 1774 |
| Examiner Name | |
| Attorney Docket Number | 89196000000 |
| Total Number of Pages in This Submission | |

ENCLOSURES (check all that apply)

| | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): declaration, transmittal letter, copy of Notice to File Missing Parts |
| Remarks | | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|--------------------------|---|----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP J. Georg Seka | Reg No. 24,491 |
| Signature | | |
| Date | May 14, 2001 | |

CERTIFICATE OF MAILING

| | | | |
|--|-------------------|--|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: | | | |
| | | | May 14, 2001 |
| Typed or printed name | Timothy S. Parker | | |
| Signature | | | |
| Date | May 14, 2001 | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1224033 v1

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IP FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1020

Complete if Known

| | |
|----------------------|-------------------------------|
| Application Number | 09/779,115 |
| Filing Date | February 7, 2001 |
| First Named Inventor | van der Kolk, Gerrit, et. al. |
| Examiner Name | |
| Group Art Unit | 1774 |
| Attorney Docket No. | 89196000000 |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | | |
|---|------------------------------------|--|-----------------|--|-----------------|--|----------|---------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | 3. ADDITIONAL FEES | | | | | | |
| Deposit Account Number | 20-1430 | Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | |
| Deposit Account Name | Townsend and Townsend and Crew LLP | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130 | |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| 2. <input type="checkbox"/> Payment Enclosed: | | 139 | 130 | 139 | 130 | Non-English specification | | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | |
| FEE CALCULATION | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| 1. BASIC FILING FEE | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | | | |
| 101 | 710 | 201 | 355 | Utility filing fee | 710 | | | |
| 106 | 320 | 206 | 160 | Design filing fee | | | | |
| 107 | 490 | 207 | 245 | Plant filing fee | | | | |
| 108 | 710 | 208 | 355 | Reissue filing fee | | | | |
| 114 | 150 | 214 | 75 | Provisional filing fee | | | | |
| SUBTOTAL (1) | | | | | (\$)710 | | | |
| 2. EXTRA CLAIM FEES | | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| Total Claims | 30 | -20** | = | 10 | X | \$18 | = | \$180 |
| Independent Claims | 3 | -3** | = | 0 | X | \$80 | = | \$0 |
| Multiple Dependent | | | | | X | | = | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid | | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | | | | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | | | | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | | | | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | | | | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | | | | |
| SUBTOTAL (2) | | | | | (\$)180 | | | |
| | | 116 | 390 | 216 | 195 | Extension for reply within second month | | |
| | | 117 | 890 | 217 | 445 | Extension for reply within third month | | |
| | | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | | |
| | | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | |
| | | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| | | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| | | 121 | 270 | 221 | 135 | Request for oral hearing | | |
| | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| | | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| | | 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | | |
| | | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | | |
| | | 143 | 440 | 243 | 220 | Design issue fee | | |
| | | 144 | 600 | 244 | 300 | Plant issue fee | | |
| | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| | | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| | | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| | | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| | | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| | | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| | | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| | | Other fee (specify) | | | | | | |
| | | The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. | | | | | | |
| | | *Reduced by Basic Filing Fee Paid | | | | | | |
| | | SUBTOTAL (3) | | | | | | (\$)130 |

| SUBMITTED BY | | Complete (if applicable) | | | |
|-------------------|----------------------|-----------------------------------|--------------|-----------|--------------|
| Name (Print/Type) | J. Georg Seka | Registration No. (Attorney/Agent) | 24,491 | Telephone | 415-576-0200 |
| Signature | <i>J. Georg Seka</i> | Date | May 14, 2001 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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